

COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231  
PATENT APPLICATION

EXPRESS MAIL LABEL NO. EL819316220US

MAIL DATE: Apr 6, 2001  
BY: M. Goldstein  
Margaret L. Goldstein

37 CFR 1.53(b) UTILITY PATENT APPLICATION TRANSMITTAL

Inventor(s): Youich TEI, Syoji SAKAGUCHI and Katsunori SUZUKI

Title: **METHOD FOR PURIFYING A THERMOPLASTIC NORBORNENE RESIN, A SUBSTRATE USING SUCH A RESIN, AND A MAGNETIC RECORDING MEDIUM USING SUCH A SUBSTRATE**

Enclosed are:

- ☒ Specification (39 pages), including Abstract and 22 claims
- ☒ 1 sheet of drawing containing 1 Figure (Formal).
- ☐ Declaration/Power of Attorney
- ☒ This application is filed pursuant to 37 CFR §1.53(b) The Declaration will be filed pursuant to 37 CFR §1 63.
- ☐ Assignment and PTO-1595 Cover Sheet
- ☐ A Verified Statement to Establish Small Entity Status Under 37 CFR 1 9 and 37 CFR 1 27
- ☒ IDS and Form PTO-1449 with one reference with english translation
- ☐ Preliminary Amendment Under MPEP 506 to reduce filing fees.
- ☒ Certified copy of Priority Document(s) for 35 USC 119 priority claim. JAPAN 2000-111325 filed April 12, 2000

The filing fee has been calculated as shown below

	(Col. 1)	(Col.2)
FOR:	NO. FILED	NO EXTRA *
BASIC FEE		
TOTAL CLAIMS	22 - 20 =	* 2
INDEP CLAIMS	2 - 3 =	*
[ ] MULTIPLE DEPENDENT CLAIM PRESENTED [X] LATE FILING OF DECLARATION [X] ASSIGNMENT RECORDATION FEE		

\* If the difference in Col. 1 is less than zero, enter "0" in Col. 2

SMALL ENTITY	
RATE	FEE
	\$ 355
X 9 =	\$
X 40 =	\$
+ 135 =	\$
+ 65 =	\$
+ 40 =	\$
TOTAL	\$

OTHER THAN SMALL ENTITY	
RATE	FEE
	\$ 710
X 18 =	\$ 36
X 80 =	\$
+ 270 =	\$
+ 130 =	\$ 130
+ 40 =	\$ 40
TOTAL	\$916 00

☒ The filing fee will be paid with our Response to Missing Parts of Application

☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$\_\_\_\_\_ A duplicate copy of this sheet is enclosed

☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee and assignment recordation fee is enclosed

☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 13-4550

☐ Any additional fees required under 37 CFR 1 16 and 1.17

Respectfully submitted,

Lyman H. Smith

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Dated: April 6, 2001